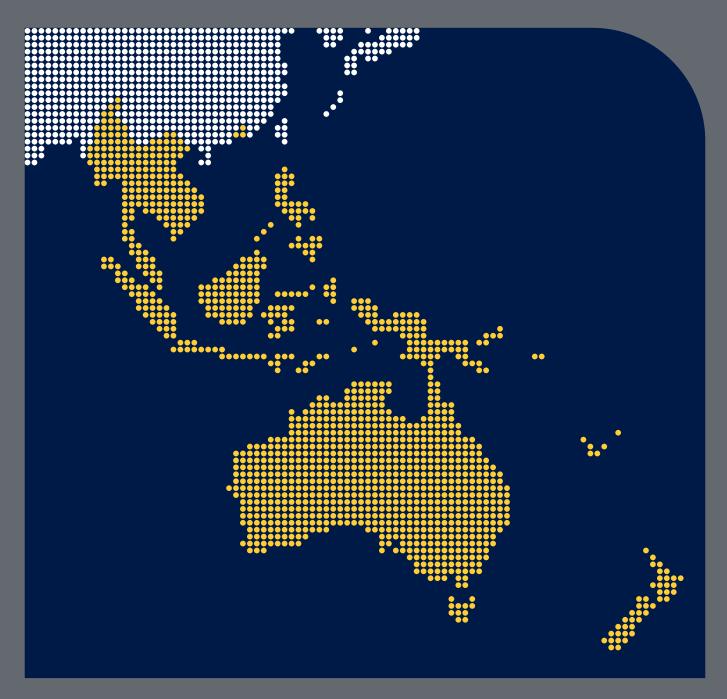


## Asia Pacific Patent Filing Requirements Handbook

as of September 2022



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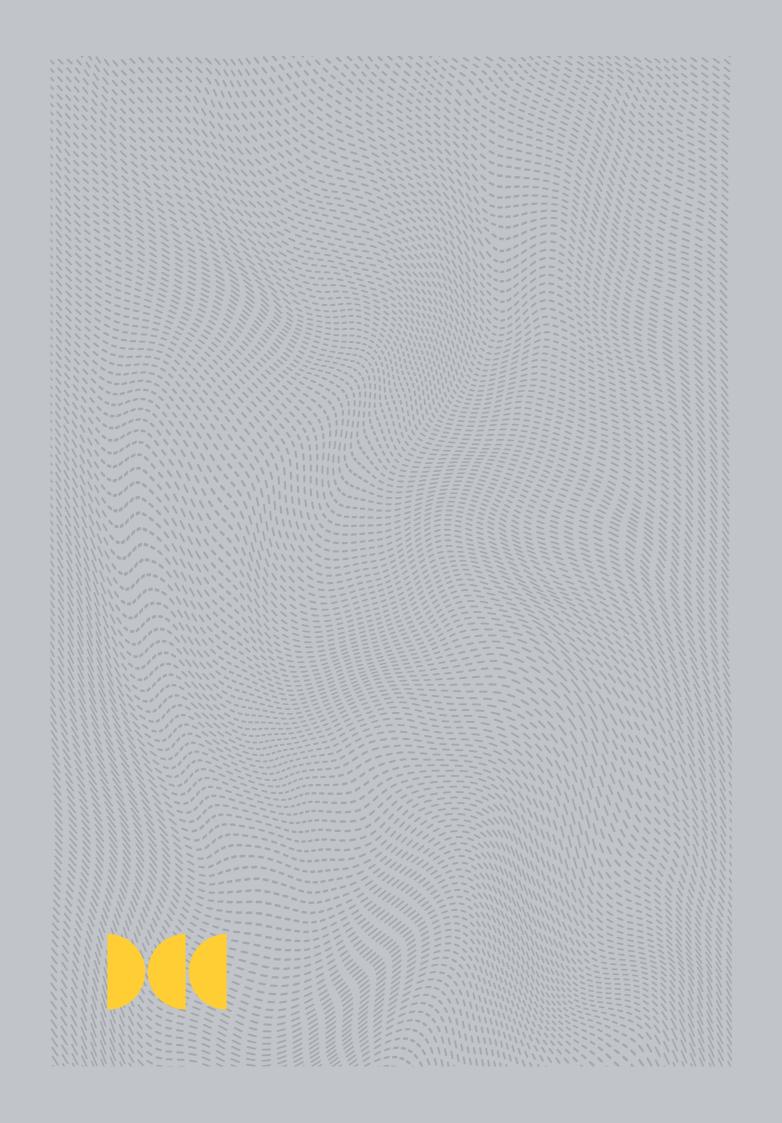
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31 months	Subject to application	
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30 months	Not extendible	
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N/A	N/A	
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31 months	Subject to application	
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National phase deadline	Extended national phase deadline	

Not extendible

31 months



## What is DCC Patents?

DCC's patent practice is unrivalled.

We have filed a large share of the patent applications in the region for a number of years and we consistently file amongst the highest number of originating patent applications across all technical disciplines in Australia and New Zealand.

Our Singapore team files for the largest local filers in Singapore, in addition to filing regionally for many of the world's largest companies. Our Hong Kong and Malaysia teams bring a wealth of experience and local knowledge to their markets.

### DCC Footprint ASIA PACIFIC POPULATION BY COUNTRY IN 2022 Hong Kong INDONESIA **PHILIPPINES VIETNAM THAILAND MYANMAR** 273,523,615 109,581,078 97,338,579 69,799,978 54,409,800 Cambodia Vietnam Philippines Malaysia MALAYSIA **AUSTRALIA** CAMBODIA PACIFIC ISLANDS **HONG KONG** 16,718,965 32,365,999 25,977,362 11,640,800 7,597,314 9 Brunei 10 Singapore 11 Indonesia 12 Pacific Islands LAOS **NEW ZEALAND BRUNEI SINGAPORE** 13 Australia 7,275,560 5,850,342 5,126,300 437,479 14 New Zealand

## Why file in Asia Pacific?

The Asia Pacific market comprises more than one third of the world's population. This is a diverse market which extends from India, to China, to Singapore, and to Australia and New Zealand. With so many people from different backgrounds, there are opportunities for any business engaging in this market.

With offices in Australia, New Zealand, Singapore, Hong Kong and Malaysia, DCC has experience throughout Asia Pacific in both direct relationships with relevant IP offices and through a network of selected associates with additional local expertise.

### STRONG ECONOMIC OUTLOOK

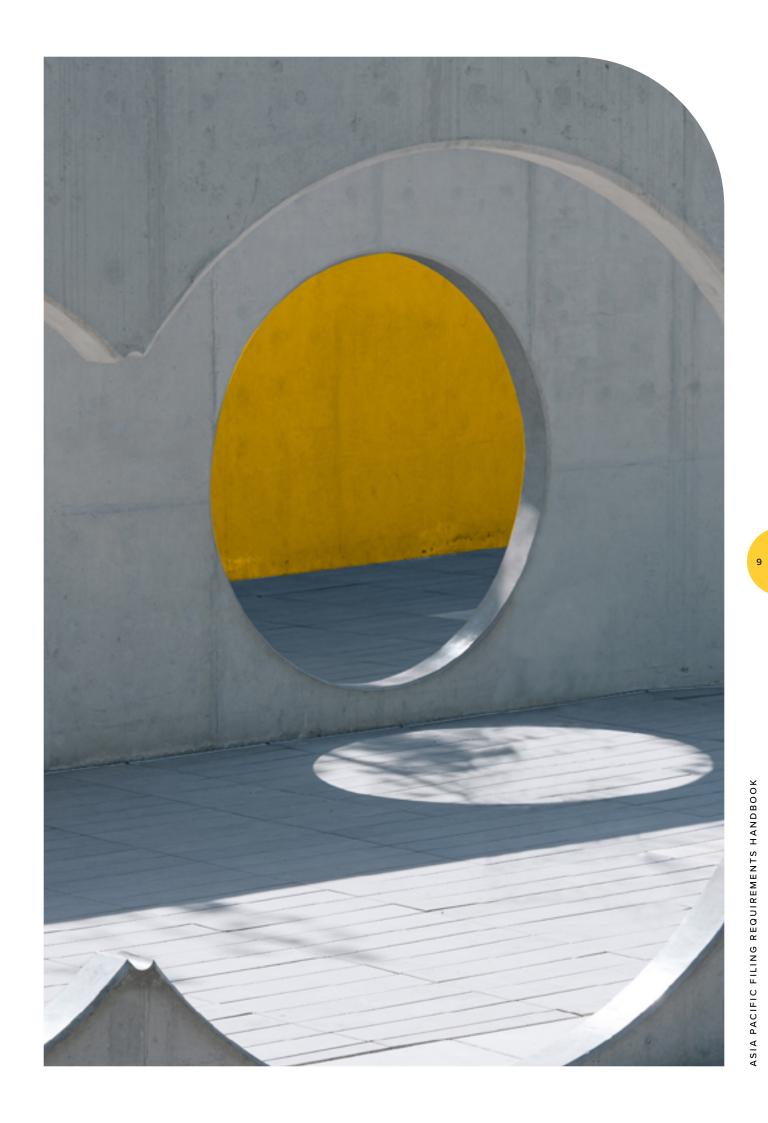
- In 2020 ASEAN registered growth of US\$70 billion in greenfield investments. It was the biggest such FDI inflow of any developing world region.
- In 2016 the region's annual average GDP growth was 4.6 per cent outpacing the global average of 3.2 per cent.\*
- The ASEAN combined GDP (as a single economic entity) is currently around US\$2.5 trillion and taken as a whole the region is set to become the equivalent of the world's fourth largest economy by 2030.\*
- As evidenced by the surge in locally originating patent fillings large multinational firms are looking to take advantage of the favourable economic conditions for manufacturing and R&D in ASEAN countries.

### **ESTABLISHED PATENT SYSTEMS**

- All ASEAN countries (except Myanmar) are members of the Patent Cooperation Treaty. Cambodia recently became a member of the PCT and has been bound by the PCT system since 8 December 2016. Myanmar provides IP protection.
- The ASEAN countries (except Myanmar) have well developed patent legislation.
- Strong development of IP examination procedures, specialised courts and enforcement procedures. This has led to a rapid increase in patent filings in the region.

### **PPH & ASPEC**

Examination in the ASEAN countries can be relatively straight forward using Patent Prosecution Highway (PPH),
 ASEAN Patent Examination Co-operation (ASPEC) program or, if a corresponding application has been allowed in major jurisdiction such as the United States, Japan, Europe, or Australia.

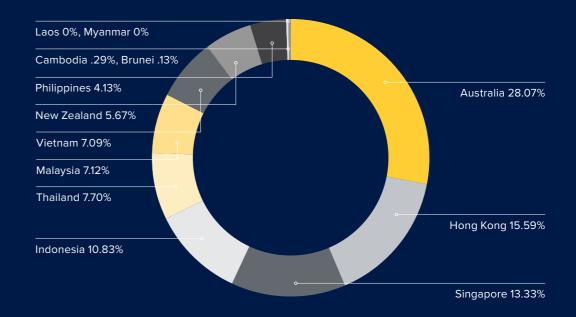


# DCC Services: Filing and Prosecution

The benefits of utilising DCC experience and services in Asia Pacific include:

- One instruction to DCC to file applications.
- Efficient prosecution:
  - Formally using PPH or ASPEC; or
  - Informally by amending to conform with allowed claims in another jurisdiction
- Fixed costs for filing and prosecution
- Prepared to work with the Patent Office to ensure maximum rights
- Long standing relationships with the firms in the region that we have selected to ensure that our clients have access to the best service providers in the region.
- Extensive knowledge and experience in the protection of patents in the region, providing a strategic approach to regional patent protection and enforcement.
- Independent and consistent recognition of the quality of the DCC service offering locally, internationally and within the Asia Pacific Region.

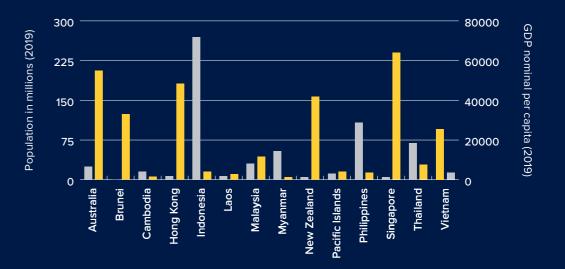
### **ASIA PACIFIC PATENT FILINGS 2019**



### ASIA PACIFIC: GDP & POPULATION

GDP nominal per capita (2019)

Population in millions (2019)



## **Australia**

### To satisfy formalities

### 1. APPLICANT PARTICULARS

### 1.1 INDIVIDUAL

- Full Name
- Address

### 1.2 CORPORATE ENTITY

- Full Name
- Registered Address

### 2. INVENTOR PARTICULARS

Full Name(s)

### 3. FORMS

None

### 4. SPECIFICATION AND PRIORITY DETAILS

- The application number, filing country and filing date for each basic application from which priority is to be claimed
- If the specification is not in English, then a verified English translations of the specification, and any amendments, is due at filing

### 5. NATIONAL PHASE ENTRY IN AUSTRALIA

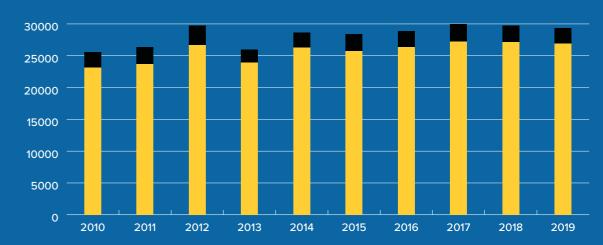
• Deadline = 31 months from the priority date



### AUSTRALIA PATENT FILINGS 2010 - 2019

Resident





### Minimal/Rushed Filing

The bare minimum of information needed to effect national phase entry is the following:

- PCT application Number and/or publication number
- If not published in English, then a verified English translation of the application as filed and any amendments.

## Brunei

### To satisfy formalities

### 1. APPLICANT PARTICULARS

- Name
- Address
- Citizenship (if individual)

### 2. INVENTOR PARTICULARS

- Full Name
- Address
- Citizenship
- Country of Residence

### 3. FORMS

- Notarised Deed of Assignment or Notarised Priority Deed of Assignment
- Due 2 months from date of filing
- Statement of inventorship
- Due 2 months from date of filing

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

- Specification to be filed in English
- Translation of priority document (if not in English)

### 5. NATIONAL PHASE ENTRY IN BRUNEI

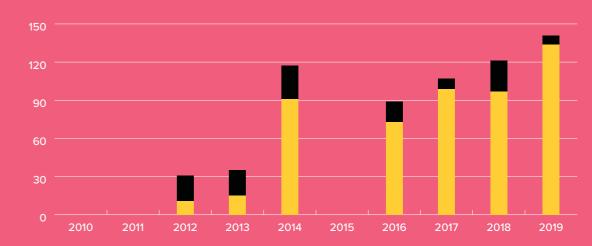
• Deadline = 30 months (not extendible)



### **BRUNEI PATENT FILINGS 2010 - 2019**







### Minimal/Rushed Filing

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

### For all cases

- a. Applicant(s) name and address
- b. Inventor(s) name and address

### For Convention cases

- a. Priority particulars (priority number, filing date, country it was filed)
- b. Certified copy of priority document in English

### For National Phase cases

- a. Copy of published PCT specification in English
- b. Copy of PCT Request



### Cambodia

### To satisfy formalities

### 1. APPLICANT PARTICULARS

- Name
- Address
- Citizenship (if individual)

### 2. INVENTOR PARTICULARS

- Full Name
- Address
- Citizenship
- Country of Residence

### 3. FORMS & DOCUMENTS

- Notarised Power of Attorney (PoA)
- Due 2 months from date of filing
- A general PoA or single PoA covering more than one case is possible
- [For Convention cases] Notarised Deed of Assignment or Notarised Priority Deed of Assignment
- Due 2 months from date of filing
- Khmer translation of specification due 6 months from date of filing
- Certified copy of Priority document:
- Due 3 months from date of filing (extendible by four months)
- Verified English translation (if applicable) due within 6 months from date of filing

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

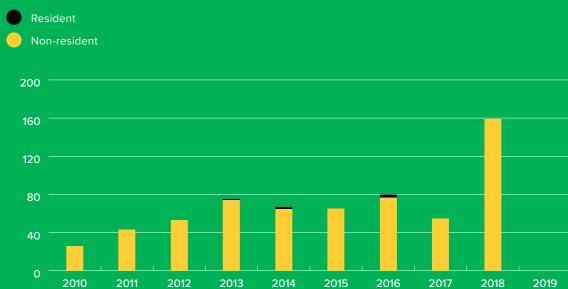
• Specification can be filed in English first

### 5. NATIONAL PHASE ENTRY IN CAMBODIA

• Deadline = 30 months extendable to 32 months with payment of fees



### CAMBODIA PATENT FILINGS 2010 - 2019



### Minimal/Rushed Filing

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

### For all cases

- a. Applicant(s) name and address
- b. Inventor(s) name and address
- c. English version of the specification in Word format

### For Convention cases

a. Priority particulars (priority number, filing date, country it was filed)

### For National Phase cases

- a. Copy of published PCT specification
- b. Copy of PCT Request
- c. Copy of international preliminary

  Examination report on patentability
- d. Copy of notification of the recording of a change (if applicable)
- e. Copy of any amendments filed during international phase
- Verified English translation of international application if not in English
- g. Verified English translation of any amendments filed during international phase if not published in English



## **Hong Kong**

### To satisfy formalities

### 1. APPLICANT PARTICULARS

### 1.1 INDIVIDUAL

- Full Name
- Address

### 1.2 CORPORATE ENTITY

- Full Name
- Registered Address
- Country of Incorporation

### 2. INVENTOR PARTICULARS

- Full Name
- Address
- Country of Residence

### 3. FORMS

- A Power of Attorney (POA) is not required in Hong Kong
- Statement of entitlement and documents in support if applicant not inventor or, for standard (reregistration) patent application, not the same as designated patent application

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

- Specification can be in English or Chinese, but title and abstract must be in English and Chinese
- Copy of priority document

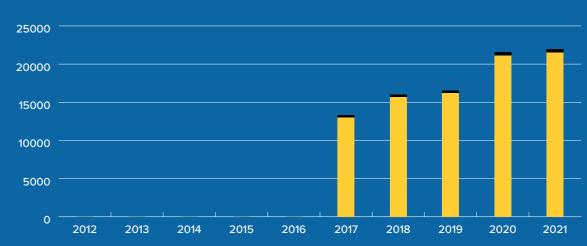
### 5. HONG KONG STANDARD (RE-REGISTRATION) PATENT

- Deadline = 6 months from publication of designated application in Europe, UK or China (Not extendible)
- Details of designated application and corresponding international application, if any



### HONG KONG PATENT FILINGS 2017 - 2021





### Minimal/Rushed Filing

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

### For all cases

- a. Applicant(s) name and address
- b. English and Chinese translations of title
- c. Filing fee and advertisement fee

### For standard (re-registration) patent

Designated patent application details

### For standard original grant and short term applications

Description of invention

## Indonesia

### To satisfy formalities

### 1. APPLICANT PARTICULARS

### 1.1 INDIVIDUAL

- Full Name
- Citizenship
- Address

### 1.2 CORPORATE ENTITY

- Name
- Registered Address
- Country of Incorporation
- State of Incorporation (US entities)

### 2. INVENTOR PARTICULARS

- Full Name
- Citizenship
- Address
- Country of residence

### 3. FORMS

- Power of Attorney (PoA)
- Statement of Ownership (SoO)
- Documentation transferring rights to applicant (DoA)

All forms due 3 months from national filing date.

Originals required.

General PoA is permitted. General SoO and DoA not permitted.

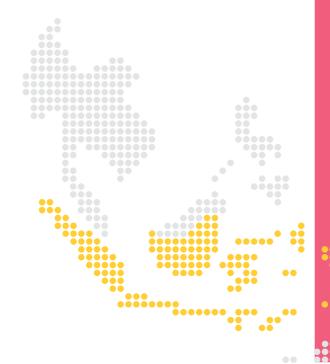
No legalisation/notarisation required except for formalities documents to be given before receipt in Indonesia.

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

Filed with English specification, followed by Indonesian specification due within 1 month from national filing date.

### 5. NATIONAL PHASE ENTRY IN INDONESIA

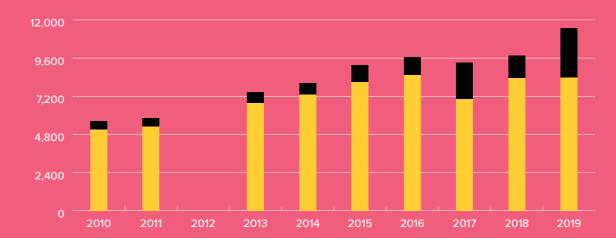
- Deadline = 31 months from the priority date
- Can be late-filed up to 43 months (i.e. 12 month extension) with payment of fine
- Required:
- Copy of the published international application
- Verified Indonesian translation of specification and any Art 19/34 amendments
- PCT/IB/306, PCT/RO/101, ISR and WO



### INDONESIA PATENT FILINGS 2010 - 2019

Resident

Non-resident



### Minimal/Rushed Filing

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

- a. Applicant(s) name and address
- b. Inventor(s) name and address
- c. PCT or priority application number
- d. English/Indonesian specification



## Laos

### To satisfy formalities

### 1. APPLICANT PARTICULARS

- Name
- Address
- Citizenship (if individual)

### 2. INVENTOR PARTICULARS

- Full Name
- Address
- Citizenship

### 3. FORMS

- Power of Attorney (POA)
- A general PoA or single PoA covering more than one case is possible
- Deed of Assignment or Notarised Priority Deed of Assignment

Documents due 60 days from filing date, must be notarised and in hard copy.

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

- For National Phase cases the specification can be filed in English first
- Lao translation to be finished within 90 days from filing date
- For Convention cases, certified copy of the Priority Document which is issued by the first receiving office is required
- The original document along with its English translation must be submitted within 60 days from the filing date
- Lao translation to be finished within 90 days from the date of filing

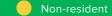
### 5. NATIONAL PHASE ENTRY IN LAOS

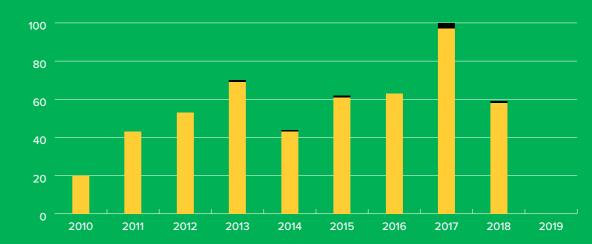
• Deadline = 31 months (Not extendible)



### LAOS PATENT FILINGS 2010 - 2019

Resident





### Minimal/Rushed Filing

The bare minimum of information needed to affect filing, and gain a valid filing date, is the following:

### For all cases

### a. Soft copy of signed POA

### b. Soft copy of signed Deed of Assignment

### For National Phase cases

- a. Copy of published PCT specification
- b. Copy of PCT Request

### For Convention cases

- a. Applicant(s) name, nationality and address
- b. Inventor(s) name, nationality and address
- c. Priority particulars
- d. Certified copy of Priority document (in English)



## Malaysia

### To satisfy formalities

### 1. APPLICANT PARTICULARS

### 1.1 INDIVIDUAL

- Full Name
- Citizenship
- Address

### 1.2 CORPORATE ENTITY

- Full Name
- Registered Address
- Country of Incorporation

### 2. INVENTOR PARTICULARS

- Full Name
- Address
- Country of Residence

### 3. FORMS AND DOCUMENTS

- Power of Attorney (PoA) Form 17
- Statement of Ownership (SoO) Form 22

All forms due 3 months from receipt of adverse Formalities Report.

Originals **not required**.

General PoA not permitted without authority of the High Court of Malaya.

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

- If the specification and/or the PCT Request Form is not in English or Bahasa Malaysia, then we need:
- Verified English translation of PCT Request Form and/or specification
- Verified English translation of any amendments filed
- For rushed filings, if a Verified English translation is not available:
- Although possible, but not recommended, can submit a machine-translated version and thereafter file the verified translation
- An unverified translation (with the verification to follow later), that would perhaps work better than a machine translated version

### 5. NATIONAL PHASE ENTRY IN MALAYSIA

- Deadline = 30 months from the priority date
- Extendible with evidence, at the discretion of the Registrar
- A Verified English translation of specification is required, PCT Request Form, and/or any Art 19/34 amendments, (if not in English or Bahasa Malaysia)



## Resident Non-resident 8,000 4,800 3,200

2014

2015

2016

2017

2018

2019

### Minimal/Rushed Filing

2011

1,600

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

2013

2012

- a. Applicant(s) name and address
- b. Inventor(s) name and address
- c. PCT or priority application number

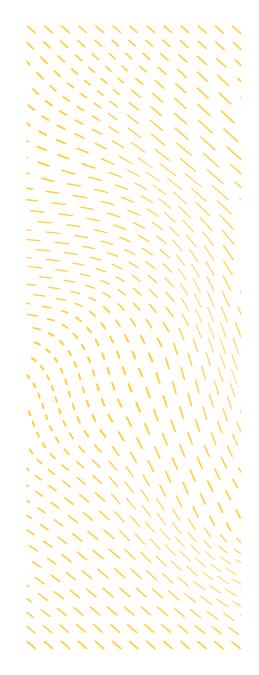


## Myanmar

### **No Patent System**

It is currently not possible to file applications for patents in Myanmar.

The relevant legislation has been enacted. However, since early 2019 the patent law has been awaiting presidential notification before it can come into effect.







### **New Zealand**

### To satisfy formalities

### 1. APPLICANT PARTICULARS

### 1.1 INDIVIDUAL

- Full Name & Address
- Nationality

### 1.2 CORPORATE ENTITY

- Full Name & Registered Address
- Country of Incorporation or Principal Place of Business

### 2. INVENTOR PARTICULARS

Full Name & Address

### 3. FORMS

None

### 4. SPECIFICATION AND PRIORITY DETAILS

- The application number, filing country and filing date for each basic application from which priority is to be claimed
- If the specification is not in English, Verified English translations of the specification and, for national phase entry, any amendments made during the International phase are required to effect filing
- For national phase entry, the translation must be filed at the Intellectual Property Office of New Zealand (IPONZ) within three months after the commencement date of the national phase
- For a Convention application, the English language application is due to be filed at IPONZ by the convention filing deadline

### 5. NATIONAL PHASE ENTRY IN NEW ZEALAND

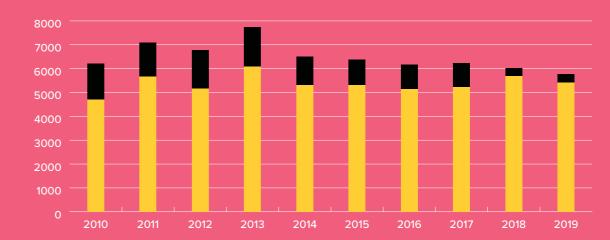
• Deadline = 31 months from the priority date



### **NEW ZEALAND PATENT FILINGS 2010 - 2019**







### Minimal/Rushed Filing

The bare minimum of information needed to effect national phase entry is the following:

• PCT application Number and/or publication number

## **Philippines**

### To satisfy formalities

### 1. APPLICANT PARTICULARS

- Name
- Address

### 2. INVENTOR PARTICULARS

- Full Name
- Address

### 3. FORMS AND DOCUMENTS

- Power of Attorney (POA)
- Due on filing or in response to an objection in a Formalities Report
- Can be either general or specific
- Request for National Phase Entry Form (For NPE only)
- Due on filing
- Can be prepared by the Philippine agent if they have an executed POA
- Statement of inventorship and/or assignment documents only required if there has been a change in inventorship or applicant

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

- For National Phase cases
- If the specification is not in English, Verified English translations of the specification and any amendments are required

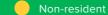
### 5. NATIONAL PHASE ENTRY IN THE PHILIPPINES

Deadline = 30 months (extendible by 1 month)



### PHILIPPINES PATENT FILINGS 2010 - 2019

Resident





### **Minimal/Rushed Filing**

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

### For all cases

a. Applicant(s) name and address

### For Convention cases

- a. Priority particulars
- b. Description, drawings, claim(s) and an abstract

### For National Phase cases

- a. Copy of published PCT specification
- b. Copy of PCT Request

For a national phase entry from a published PCT application, with no changes to the above details, the PCT application/publication number is sufficient.

If a change has been recorded, the form PCT/IB/306



## Singapore

### To satisfy formalities

### 1. APPLICANT PARTICULARS

### 1.1 INDIVIDUAL

- Full Name
- Citizenship
- Address

### 1.2 CORPORATE ENTITY

- Full Name
- Registered Address
- Country of Incorporation

### 2. INVENTOR PARTICULARS

- Full Name
- Address
- Country of Residence

### 3. FORMS

A Power of Attorney (POA) is not required in Singapore.

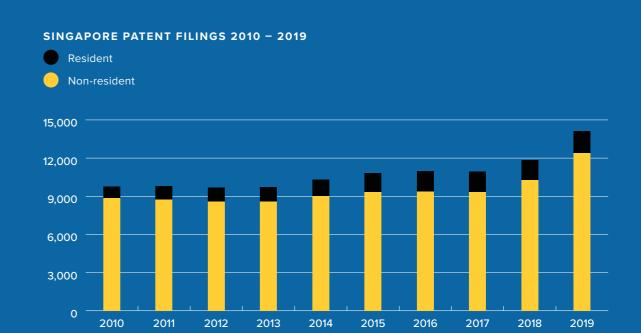
### 4. SPECIFICATION AND PRIORITY DOCUMENTS

If the specification is not in English, **Verified English translations of the specification and any amendments are required to effect filing**.

### 5. NATIONAL PHASE ENTRY IN SINGAPORE

Deadline = 30 months extendable to 48 months with payment of fees





### Minimal/Rushed Filing

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

- a. Applicant(s) name and address
- b. Inventor(s) name and address
- c. PCT or priority application number



## Thailand

### To satisfy formalities

### 1. APPLICANT PARTICULARS

- Name
- Address

### 2. INVENTOR PARTICULARS

- Full Name
- Address

### 3. FORMS

- Notarised and executed Power of Attorney (PoA) and a Thai translation thereof
- Original executed Statement of Applicant's Right to Apply for Patent (SOAR) and a Thai translation of the SOAR (only required if Applicant is inventor)
- Original executed Deed of Assignment (DoA) to be furnished within 90 days from the Thai filing date of the application
- Required for Convention cases where Applicant is not inventor
- Required for National Phase cases where there has been a change of Applicant

All forms due within 90 days from the filing date.

A general Power of Attorney is possible.

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

- A Thai translation of the specification will need to be furnished within 90 days from the Thai filing date of the application
- For Convention cases, certified copy(ies) of the priority document will need to be furnished within 16 months from its first filing date but before the publication of the Thai application
- For National Phase cases, we will require a Verified English translation of specification, PCT Request Form and/or any Art 19/34 amendments, (if not in English or Bahasa Malaysia)

### 5. NATIONAL PHASE ENTRY IN THAILAND

- Deadline = 30 months from the priority date
- A request for restoration for Late Entering of National Phase may be filed within:
- 2 months from the ending date of the "event/cause" that prevented the national phase entry application from entering Thailand by the deadline; or
- 42 months from the first filing date

whichever expires first



# THAILAND PATENT FILINGS 2010 - 2019 Resident Non-resident 10,000 8,000 4,000 2,000

### Minimal/Rushed Filing

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

### For all cases

- a. Applicant(s) name and address
- b. Inventor(s) name and address
- c. Title of invention
- d. Thai translation of title, abstract and claims

### For Convention cases

- a. Priority particulars
- If priority document is not in English, an English-language specification is required

### For National Phase cases

- a. Copy of published PCT specification
- b. Copy of PCT Request and Thai translation thereof

For a national phase entry from a published PCT application (in English), with no changes to the above details, the PCT application/publication number is sufficient.

If a change has been recorded, the form PCT/IB/306 along with its Thai translation must be submitted at the time of filing.



## Vietnam

### To satisfy formalities

### 1. APPLICANT PARTICULARS

- Name
- Address
- Country of citizenship if an individual

### 2. INVENTOR PARTICULARS

- Full Name
- Address
- Nationality

### 3. FORMS & DOCUMENTS

- Power of Attorney executed by authorised representatives for a company or by the applicant(s) for an individual
- Due 1 month from filing for Convention applications
- Due 34 months from the priority date for a national phase entry
- Can be either general or specific
- Must be dated before the filing date/the entry date
- Deed of Assignment
- Required if the applicant of the national application differs from that of the PCT application, and no PCT/IB/306 is available

### 4. SPECIFICATION AND PRIORITY DOCUMENTS

- For Convention cases
- certified copy of the priority document
- if not in English, English translation of cover sheet with full bibliographic details
- within 3 months from the filing date
- For National Phase cases
- English translation of non-English published applications
- Vietnamese translation of specification
- Vietnamese translation of any amendments filed
- Due at time of filing/entry

### 5. NATIONAL PHASE ENTRY IN VIETNAM

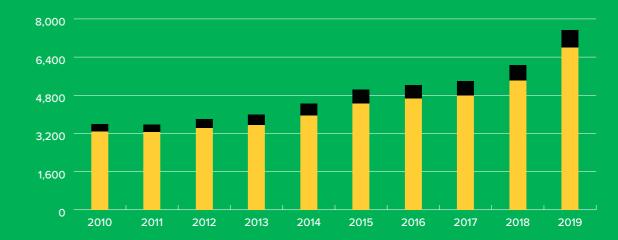
Deadline = 31 months (Not extendible)



### VIETNAM PATENT FILINGS 2010 - 2019

Resident

Non-resident



### Minimal/Rushed Filing

The bare minimum of information needed to effect filing, and gain a valid filing date, is the following:

### For all cases

- a. Applicant(s) name and address
- b. Inventor(s) name, citizenship and address
- c. IPC

### For Convention cases

a. Priority particulars

a. Copy of published PCT specification

b. Copy of PCT Request

For National Phase cases

For a national phase entry from a published PCT application, with no changes to the above details, the PCT application/publication number is sufficient.

If a change has been recorded, the form PCT/IB/306 or a notarised attestation of the change is needed.





### **AUSTRALIA**

### Melbourne

Level 15, 1 Nicholson Street Melbourne VIC 3000

**T** +61 3 9254 2777

**E** mail@dcc.com

### **Sydney**

Level 14, 255 Elizabeth Street Sydney NSW 2000

**T** +61 2 9293 1000

**E** mail@dcc.com

### Brisbane

Level 10, 301 Coronation Drive Milton QLD 4064

**T** +61 7 3011 9700

**E** mail@dcc.com

### **NEW ZEALAND**

Level 16, NTT Tower 157 Lambton Quay Wellington, New Zealand 6011

T +64 4 460 5203

**E** mail@dcc.com

### **SINGAPORE**

10 Collyer Quay #07-01 Ocean Financial Centre

Singapore 049315

**T** +65 6727 3777

E mail@dcc.com

### HONG KONG

B03, 17/F, Tower 1, Grand Century Place, 193 Prince Edward Rd W Mong Kok, Hong Kong SAR T +852 2175 6227 E mail@dcc.com

### MALAYSIA

Suite 609, Block D, Phileo
Damansara 1, No. 9, Jalan 16/11,
46350 Petaling Jaya,
Selangor Darul Ehsan, Malaysia
T +60 3 7957 1472
E mail@dcc.com

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